



**PATENT** 

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Applicant

: Jonathan D. Goodwin, et al.

Application No. : 09/905,329

Filed

: July 13, 2001

Title

: WEB-ENABLED VALUE BEARING ITEM PRINTING

Grp./Div.

: 2161

Examiner

: Not yet assigned

Docket No.

: 41543/SAH/S850

## INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 October 5, 2004

## Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application.

## **Application No. 09/905,329**

Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Art Hasan

Reg. No. 41,057 626/795-9900

SAH/tmw

**Enclosures:** 

PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCHARGE

STATEMENT BY APPLICANT

Attorney Docket Number	41543/SAH/S850
Application Number	09/905,329
Filing Date	July 13, 2001
Applicant(s)	Jonathan David Goodwin, et al.
Group Art Unit	2161
Examiner Name	Not assigned yet

		U.S. P.	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite DOCUMENT NUMBER  No. Number - Kind Code <sup>2</sup> (If Known)		PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
Attities		4,447,890	05-08-1984	Duwel et al.
	******	4,725,718	02-16-1988	Sansone et al.
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		4,837,702	06-06-1989	Obrea
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		4,933,849	06-12-1990	Connell et al.
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		5,181,245	01-19-1993	Jones
	·	5,325,519	06-28-1994	Long et al.
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		5,390,251	02-14-1995	Pastor et al.
		5,448,641	09-05-1995	Pintsov et al.
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		5,561,795	10-01-1996	Sarkar

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Applicant(s)	Jonathan David Goodwin, et al.
Group Art Unit	2161
Examiner Name	Not assigned yet

_		U.S. P.	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,570,465	10-29-1996	Tsakanikas
		5,598,477	01-28-1997	Berson
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		5,655,023	08-05-1997	Cordery et al.
		5,659,616	08-19-1997	Sudia
		5,666,421	09-09-1997	Pastor et al.
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		5,801,944	09-01-1998	Kara
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		5,867,578	02-02-1999	Brickell et al.
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		5,930,796	07-27-1999	Pierce et al.
		5,940,383	08-17-1999	Willkie

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	41543/SAH/S850
INFORMATION DISCLOSURE	Application Number	09/905,329
	Filing Date	July 13, 2001
TP E STATEMENT BY APPLICANT	Applicant(s)	Jonathan David Goodwin, et al.
(use as many sheets as necessary)	Group Art Unit	2161
	Examiner Name	Not assigned yet

U.S. PATENT DOCUMENTS						
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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	41543/SAH/S850
INFORMATION DISCLOSURE	Application Number	09/905,329
TPE	Filing Date	July 13, 2001
STATEMENT BY APPLICANT	Applicant(s)	Jonathan David Goodwin, et al.
(use as many sheets as necessary)	Group Art Unit	2161
TO TRADEMENT	Examiner Name	Not assigned yet

		U.S. P.	ATENT DOCUMENTS	
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		6,367,013 B1	04-02-2002	Bisbee et al.
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		US 2002/0095383 A1	07-18-2002	Mengin et al.
		US 2003/0130954 A1	07-10-2003	Carr et al.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (•)
		EP 0 360 225 A2	03-28-1990	Pastor	
		EP 0 576 113 A2	12-29-1993	Heinrich, et al.	
	···	EP 0 604 146 A3	06-29-1994	Herbert	
		EP 0 604 146 B1	11-26-1997	Herbert	

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	41543/SAH/S850
1 P E IDEORMATION DISCLOSURE	Application Number	09/905,329
<b>ា</b>	Filing Date	July 13, 2001
1 2 200 TATEMENT BY APPLICANT	Applicant(s)	Jonathan David Goodwin, et al.
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		EP 0 604 148 A3	06-29-1994	Herbert	
		EP 0 647 925 A2	04-12-1995	Pintsov, et al.	
- · · · · · ·		EP 0 840 258 A2	05-06-1998	Ryan	
		EP 0 892 367 A2	01-20-1999	Bass, et al.	
		EP 0 927 958 A2	07-07-1999	Pierce, et al.	
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		GB 2318486 A	04-22-1998	Holloway	
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		WO 98/57460 A1	12-17-1998	Cordery, et al.	
		WO 99/18514 A1	04-15-1999	Costigan, et al.	
		WO 00/19382 A1	04-06-2000	Ananda	
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		WO 01/50227 A2	07-12-2001	Mattern, et al.	

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		TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just for <i>Electronic</i> Mail Anymore; School of Computer Science; March 1, 1993; 1-21 pp.; Carnegie Mellon University, Pittsburg, PA, USA		

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	FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	41543/SAH/S850
	1 P ENFORMATION DISCLOSURE	Application Number	09/905,329
<b>/</b> \$	STATEMENT BY APPLICANT	Filing Date	July 13, 2001
SC.	1 2 200 E	Applicant(s)	Jonathan David Goodwin, et al.
Ę,	(use as many sheets as necessary)	Group Art Unit	2161
Y	& TRADEMA	Examiner Name	Not assigned yet

	OTHER DOCUMENTS			
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36 pp.; Carnegie Mellon University, Pittsburg, PA, USA		
		United States Postal Service, "Information Based Indicia Program Postal Security Device Specification" June 13, 1996 (21 sheets)		

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